

### UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/417,497	10/13/1999	CHUAN-YU HSU	JCLA5184	7522
JC PATENTS INC 4 VENTURE SUITE 250		EXAMINER GRANT II, JEROME		
IRVINE, CA	92618		ART UNIT	PAPER NUMBER
			2624 DATE MAILED: 06/20/2002	#3

Please find below and/or attached an Office communication concerning this application or proceeding.

·	Application No. Applicant(s)
Office Action Summary	09/417 497 (+sh
, , , , , , , , , , , , , , , , , , , ,	Examiner Art Unit 7.624
The MAII INC DATE of this communication appears	
Pariod for Ponly	s on the cover sheet with the correspondence address -
A SHORTENED STATUTORY PERIOD FOR REPLY IS SE	T TO EXPIRE MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.	
<ul> <li>Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In n mailing date of this communication.</li> </ul>	
<ul> <li>If the period for reply specified above is less than thirty (30) days, a reply within the</li> <li>If NO period for reply is specified above, the maximum statutory period will apply an</li> </ul>	d will expire SIX (6) MONTHS from the mailing date of this communication.
<ul> <li>Failure to reply within the set or extended period for reply will, by statute, cause the</li> <li>Any reply received by the Office later than three months after the mailing date of this</li> </ul>	
earned patent term adjustment. See 37 CFR 1.704(b).	
1) Responsive to communication(s) filed on	
2a) ☐ This action is FINAL. 2b) ☑ This acti	
•	
3) Since this application is in condition for allowance exclosed in accordance with the practice under Ex pa	
Disposition of Claims	,
4) Claim(s)	is/are pending in the applica
	is/are withdrawn from considera
5) ☐ Claim(s)	is/are allowed
6) $\bigcirc$ Claim(s) 1, 6-9 & 14-16	is/are rejected.
7) T Claim(s) 2-5 P 10-13	
/) M Claim(s)	is/are objected to.
	is/are objected to are subject to restriction and/or election requirem
	is/are objected to.
8) ☐ Claims	is/are objected to.
8) ClaimsApplication Papers	is/are objected to are subject to restriction and/or election requirem
8) Claims	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.
8) ☐ Claims  Application Papers  9) ☐ The specification is objected to by the Examiner.  10) ☐ The drawing(s) filed on is/all	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.
8) Claims	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.  ng(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b approved by the Examiner.
8) Claims	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.  ng(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b approved by the Examiner.  nis Office action.
8) Claims	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.  ng(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b approved by the Examiner.  nis Office action.
8) ☐ Claims	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.  ng(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b approved by the Examiner.  nis Office action.
8) Claims	is/are objected to.  are subject to restriction and/or election requirem  re a accepted or b accepted to by the Examiner.  ng(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b approved by the Examiner.  nis Office action.
8) ☐ Claims	is/are objected to.  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  are subject to restriction and/or election requirem  are subjected to.  is/are objected to.  are subject to restriction and/or election requirem  are subject to restriction and/or election requirem  is/are objected to.  is/are objected to.  are subject to restriction and/or election requirem  is/are objected to.  is/are objected to.  are subject to restriction and/or election requirem  is/are objected to.  is/are objec
Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/an Applicant may not request that any objection to the drawing 11) The proposed drawing correction filed on If approved, corrected drawings are required in reply to the 12) The oath or declaration is objected to by the Examine Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgement is made of a claim for foreign prior a) All b) Some* c) None of:	is/are objected to.  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  and objected to by the Examiner.  is: office action.  are subject to restriction and/or election requirem  are all accepted or b) objected to by the Examiner.  are all accepted in abeyance. See 37 CFR 1.85(a).  are all accepted in abeyance. See 37 CFR 1.85(a).  are all accepted in abeyance. See 37 CFR 1.85(a).  are all accepted in abeyance. See 37 CFR 1.85(a).  are all accepted in abeyance. See 37 CFR 1.85(a).  are all accepted in abeyance. See 37 CFR 1.85(a).  are all accepted in
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on	is/are objected to.
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on	is/are objected to.  are subject to restriction and/or election requirem  are all accepted or bleeted to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: all approved bleeted by the Examiner.  and or approved by the Examiner.  are all accepted or bleeted to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: all approved by the Examiner.  are subject to restriction and/or election requirem  angles all accepted by the Examiner.  and approved by the Examiner.  are all accepted in Approved to by the Examiner.  and approved b
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on	is/are objected to.  are subject to restriction and/or election requirem  are all accepted or blected to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: all approved blected by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: all approved by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: all approved by the Examiner.  aris Office action.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subject to restriction and/or election requirem  ang(s) be held in abeyance.  approved by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subject to restriction and/or election requirem  ang(s) be held in abeyance.  approved by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subject to restriction and/or election requirem  ang(s) be held in abeyance.  approved by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subjected to by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subjected to by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subjected to by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subjected to by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).  are subjected to by the Examiner.  arity under 35 U.S.C. § 119(a)-(d) or (f).
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on	is/are objected to.  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  Ing(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  Inis Office action.  In approved b or (f).  In approved in Application No.  In approved in Application No.  In approved in this National Stage  (PCT Rule 17.2(a)).  In application has been received.  In application has been received.
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on is/al Applicant may not request that any objection to the drawing 11)  The proposed drawing correction filed on If approved, corrected drawings are required in reply to the 12)  The oath or declaration is objected to by the Examine Priority under 35 U.S.C. §§ 119 and 120  13)  Acknowledgement is made of a claim for foreign prior a)  All b)  Some* c)  None of:  1.  Certified copies of the priority documents have to 2.  Certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the priority documents have to 4.  Certified copies of the priority documents have to 4.  Certified copies of the priority documents have to 4.  Certified copies of the priority documents have to 4.  Certified copies of the pr	is/are objected to.  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  Ing(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  Inis Office action.  In approved b or (f).  In approved in Application No.  In approved in Application No.  In approved in this National Stage  (PCT Rule 17.2(a)).  In application has been received.  In application has been received.
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on is/al Applicant may not request that any objection to the drawing 11)  The proposed drawing correction filed on If approved, corrected drawings are required in reply to the 12)  The oath or declaration is objected to by the Examine Priority under 35 U.S.C. §§ 119 and 120  13)  Acknowledgement is made of a claim for foreign prior a)  All b)  Some* c)  None of:  1.  Certified copies of the priority documents have to 2.  Certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 4.	are subject to restriction and/or election requirem  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected to by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected to by the Examiner.  approved by the Examiner.  are subject to restriction and/or 185(a).  approved by the Examiner.  approved by the Examiner
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on	are subject to restriction and/or election requirem  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected to by the Examiner.  approved by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected to by the Examiner.  approved by t
Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on is/al Applicant may not request that any objection to the drawing 11)  The proposed drawing correction filed on If approved, corrected drawings are required in reply to the 12)  The oath or declaration is objected to by the Examine Priority under 35 U.S.C. §§ 119 and 120  13)  Acknowledgement is made of a claim for foreign prior a)  All b)  Some* c)  None of:  1.  Certified copies of the priority documents have to 2.  Certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 3.  Copies of the certified copies of the priority documents have to 4.	are subject to restriction and/or election requirem  are subject to restriction and/or election requirem  are a accepted or b objected to by the Examiner.  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected to by the Examiner.  are subject to restriction and/or election requirem  ang(s) be held in abeyance. See 37 CFR 1.85(a).  is: a approved b objected to by the Examiner.  approved by the Examiner.  are subject to restriction and/or 185(a).  approved by the Examiner.  approved by the Examiner

Page 2

Application/Control Number: 09/417,497

Art Unit: 2624

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371© of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 1, 6-9 and 14-16 are rejected under 35 U.S.C. 102(e) as being anticipated by Lo.

With regard to claim 1, Lo teaches a method implemented on a user interface incorporated in a computer system coupled with a scanner for performing an automatic scan operation an original document, the computer system running a scanner driver and an application program; the method comprising the steps of:

Application/Control Number: 09/417,497 Page 3

Art Unit: 2624

storing a set of default image processing settings in the user interface ( client computer sets processing range setting, see col. 12, lines 10-40 esp. Lines 31-40.; activating the scanner (via scanner server 130; to perform a primitive scan operation on the original document based on the default image processing settings to thereby obtain a primitive scanned image which is then transferred to the scanner driver, see col. 16, lines 12-40; activating the scanner driver (Twain device driver) to perform a set of image processing routines on the primitive scanned image to thereby obtain the image qualities of the original document (col. 5, lines 48-57) and based on the image qualities of the original document, specifying a set of unique image processing settings for optimal scan of the original document (see col. 16, lines 55-62; and activating the scanner to perform a final scan operation on the original document based on the suited image processing settings to thereby obtain a final scanned image which is transferred to the application program for use by the application program (see col. 16, lines 55-60).

With respect to claims 6 and 14, see col. 15, lines 47-56.

With respect to claims 7 and 15, see col. 15, lines 47-56, see also col. 1'3, lines 45-69 for software controlling the Twain device driver.

Page 4

Application/Control Number: 09/417,497

Art Unit: 2624

With respect to claims 8 and 16, Lo teaches processing the text and image if text is part of the data scanned by scanner 144.

With respect to claim 9, Lo teaches a user interface for use on a computer system coupled with a scanner for performing an automatic scan operating on an original document, the computer system running a scanner driver and an application program; the user interface (client computer keyboard) comprising: means for storing a set of default image processing settings in the user interface (client computer sets processing range setting, see col. 12, lines 10-40 esp. Lines 31-40.; activating the scanner (via scanner server 130; to perform a primitive scan operation on the original document based on the default image processing settings to thereby obtain a primitive scanned image which is then transferred to the scanner driver, see col. 16, lines 12-40; activating the scanner driver (Twain device driver) to perform a set of image processing routines on the primitive scanned image to thereby obtain the image qualities of the original document (col. 5, lines 48-57) and based on the image qualities of the original document, specifying a set of unique image processing settings for optimal scan of the original document (see col. 16, lines 55-62; and activating the scanner to perform a final scan operation on the original document based on the suited image processing settings to thereby obtain a final scanned image which is transferred to the application program for use by the application program (see col. 16, lines 55-60).

Application/Control Number: 09/417,497

Art Unit: 2624

**Claims Objected** 

2. Claims 2-5 and 10-13 are objected to as being dependent upon a rejected base claim, but

would be allowable if rewritten in independent form including all of the limitations of the base

claim and any intervening claims.

3. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Jerome Grant II whose telephone number is 305-4391. The examiner can

normally be reached on Mon.-Fri.. from 9:00 to 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor,

David Moore, can be reached on (703) 308-7452. The fax phone number for the organization

where this application or proceeding is assigned is 872-9314.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 305-3900.

J. Grant II

June 14/2002

PRIMARY EXAMINER

Page 5

# Attachment for PTO-948 (Rev. 03/01, or earlier) 6/18/01

The below text replaces the pre-printed text under the heading, "Information on How to Effect Drawing Changes," on the back of the PTO-948 (Rev. 03/01, or earlier) form.

#### INFORMATION ON HOW TO EFFECT DRAWING CHANGES

#### 1. Correction of Informalities -- 37 CFR 1.85

New corrected drawings must be filed with the changes incorporated therein Identifying indicia, if provided, should include the title of the invention, inventor's name, and application number, or docket number (if any) if an application number has not been assigned to the application. If this information is provided, it must be placed on the front of each sheet and centered within the top margin. If corrected drawings are required in a Notice of Allowability (PTOL-37), the new drawings MUST be filed within the THREE MONTH shortened statutory period set for reply in the Notice of Allowability. Extensions of time may NOT be obtained under the provisions of 37 CFR 1 136(a) or (b) for filing the corrected drawings after the mailing of a Notice of Allowability. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

## 2. Corrections other than Informalities Noted by Draftsperson on form PTO-948.

All changes to the drawings, other than informalities noted by the Draftsperson, MUST be made in the same manner as above except that, normally, a highlighted (preferably red ink) sketch of the changes to be incorporated into the new drawings MUST be approved by the examiner before the application will be allowed. No changes will be permitted to be made other than correction of informalities, unless the examiner has approved the proposed changes

#### **Timing of Corrections**

Applicant is required to submit the drawing corrections within the time period set in the attached Office communication See 37 CFR 1.85(a)

Failure to take corrective action within the set period will result in ABANDONMENT of the application.